

**CONTINUED PROSECUTION APPLICATION (CPA)
 REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing

(Only for Continuation or Divisional applications under 37 C.F.R. 1.53(d))

CHECK BOX, if applicable.

☐ **DUPLICATE**

Address to:

**Assistant Commissioner for Patents
 Box CPA
 Washington, DC 20231**

Attorney Docket No.
 of Prior Application

MIT-6186Z

First Named Inventor

Michael S. Feld

Examiner Name

R. Smith

Group / Art Unit

3737

Express Mail Label No.

EL473427657US

This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. 1.53(d) (continued prosecution application (CPA)) of prior application number 08/745,509, filed on November 12, 1996, entitled RAMAN ENDOSCOPE

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. § 1.51(b), or (2) that national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on ☐ under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
☐
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

01/12/2000 KSHIFERA 00000030 08745509

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	20 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3 - 3** =	0	x \$ 78 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 260 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 690
				Total of above Calculations =	\$ 690
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 345
				TOTAL =	\$ 345
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 680
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 1025

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

8. ☒ A check is enclosed for \$1025.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

9. ☐ New Attorney Docket No. [].

10. ☒ a. ☐ Receipt for Facsimile Transmitted CPA
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

11. ☒ Other: Petition for Extension of Time

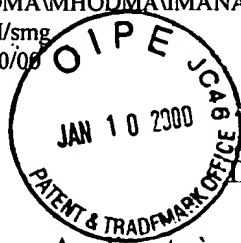
NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

12. NEW CORRESPONDENCE ADDRESS

NAME					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>Thomas O. Hoover</i>	Date	<i>January 10, 2000</i>
Submitted by Typed or Printed Name	Thomas O. Hoover	Reg. Number	32,470



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael S. Feld and Joseph Baraga

Prior Serial No.: 08/745,509

Filed: November 12, 1996

Group Art Unit: 3737

Prior Examiner: R. Smith

For: RAMAN ENDOSCOPE

<p>Date: <u>January 10, 2000</u></p> <p>EXPRESS MAIL LABEL NO. <u>EL473427657US</u></p>

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

Please amend the application as follows:

In the Specification

At page 11, line 7, replace "endoscope" with - - - source - - -.

At page 14, on lines 4, 6, 8 and 12, please replace "140" with - - - 330 - - -.

In the Claims

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15. (Thrice Amended) A Raman endoscope comprising:
- an endoscope having an optical fiber extending from a proximal end to a distal end of the endoscope;
 - an optical filter at the distal end of the endoscope;
 - a focal plane array sensor at the distal end of the endoscope that detects filtered Raman scattered radiation directed onto the distal end of the endoscope;

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